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## Neighbor Discovery Proxies (ND Proxy)

### Status of This Memo

This memo defines an Experimental Protocol for the Internet community. It does not specify an Internet standard of any kind. Discussion and suggestions for improvement are requested. Distribution of this memo is unlimited.

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### Abstract

Bridging multiple links into a single entity has several operational advantages. A single subnet prefix is sufficient to support multiple physical links. There is no need to allocate subnet numbers to the different networks, simplifying management. Bridging some types of media requires network-layer support, however. This document describes these cases and specifies the IP-layer support that enables bridging under these circumstances.

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## 1. Introduction

In the IPv4 Internet today, it is common for Network Address Translators (NATs) [NAT] to be used to easily connect one or more leaf links to an existing network without requiring any coordination with the network service provider. Since NATs modify IP addresses in packets, they are problematic for many IP applications. As a result, it is desirable to address the problem (for both IPv4 and IPv6) without the need for NATs, while still maintaining the property that no explicit cooperation from the router is needed.

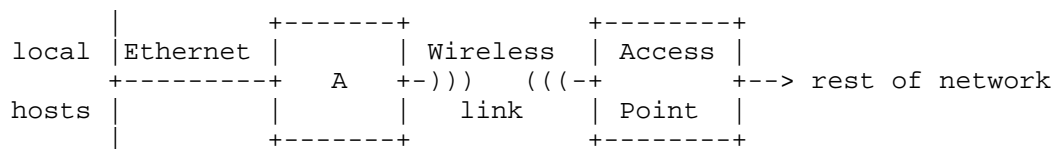
One common solution is IEEE 802 bridging, as specified in [BRIDGE]. It is expected that whenever possible links will be bridged at the link layer using classic bridge technology [BRIDGE] as opposed to using the mechanisms herein. However, classic bridging at the data-link layer has the following limitations (among others):

- o It requires the ports to support promiscuous mode.
- o It requires all ports to support the same type of link-layer addressing (in particular, IEEE 802 addressing).

As a result, two common scenarios, described below, are not solved, and it is these two scenarios we specifically target in this document. While the mechanism described herein may apply to other scenarios as well, we will concentrate our discussion on these two scenarios.

### 1.1. SCENARIO 1: Wireless Upstream

The following figure illustrates a likely example:



In this scenario, the access point has assigned an IPv6 subnet prefix to the wireless link, and uses link-layer encryption so that wireless clients may not see each other's data.

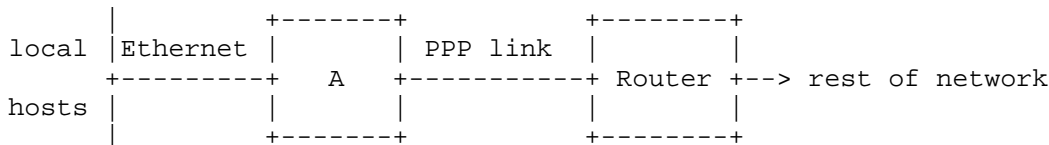
Classic bridging requires the bridge (node A in the above diagram) to be in promiscuous mode. In this wireless scenario, A cannot put its wireless interface into promiscuous mode, since one wireless node cannot see traffic to/from other wireless nodes.

IPv4 Address Resolution Protocol (ARP) proxying has been used for some years to solve this problem without involving NAT or requiring any change to the access point or router. In this document, we describe equivalent functionality for IPv6 to remove this incentive to deploy NATs in IPv6.

We also note that Prefix Delegation [PD] could also be used to solve this scenario. There are, however, two disadvantages to this. First, if an implementation already supports IPv4 ARP proxying (which is indeed the case in a number of implementations today), then IPv6 Prefix Delegation would result in separate IPv6 subnets on either side of the device, while a single IPv4 subnet would span both segments. This topological discrepancy can complicate applications and protocols that use the concept of a local subnet. Second, the extent to which Prefix Delegation is supported for any particular subscriber class is up to the service provider. Hence, there is no guarantee that Prefix Delegation will work without explicit configuration or additional charge. Bridging, on the other hand, allows the device to work with zero configuration, regardless of the service provider's policies, just as a NAT does. Hence bridging avoids the incentive to NAT IPv6 just to avoid paying for, or requiring configuration to get, another prefix.

1.2. SCENARIO 2: PPP Upstream

The following figure illustrates another likely example:



In this scenario, the router has assigned a /64 to the PPP link and advertises it in an IPv6 Router Advertisement.

Classic bridging does not support non-802 media. The PPP Bridging Control Protocol [BCP] defines a mechanism for supporting bridging over PPP, but it requires both ends to be configured to support it. Hence IPv4 connectivity is often solved by making the proxy (node A in the above diagram) be a NAT or an IPv4 ARP proxy. This document specifies a solution for IPv6 that does not involve NAT or require any change to the router.

### 1.3. Inapplicable Scenarios

This document is not applicable to scenarios with loops in the physical topology, or where routers exist on multiple segments. These cases are detected and proxying is disabled (see Section 6).

In addition, this document is not appropriate for scenarios where classic bridging can be applied, or when configuration of the router can be done.

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14, RFC 2119 [KEYWORDS].

The term "proxy interface" will be used to refer to an interface (which could itself be a bridge interface) over which network-layer proxying is done as defined herein.

In this document, we make no distinction between a "link" (in the classic IPv6 sense) and a "subnet". We use the term "segment" to apply to a bridged component of the link.

Finally, while it is possible that functionality equivalent to that described herein may be achieved by nodes that do not fulfill all the requirements in [NODEREQ], in the remainder of this document we will describe behavior in terms of an IPv6 node as defined in that document.

## 3. Requirements

Proxy behavior is designed with the following requirements in mind:

- o Support connecting multiple segments with a single subnet prefix.
- o Support media that cannot be bridged at the link layer.
- o Do not require any changes to existing routers. That is, routers on the subnet may be unaware that the subnet is being bridged.

- o Provide full connectivity between all nodes in the subnet. For example, if there are existing nodes (such as any routers on the subnet) that have addresses in the subnet prefix, adding a proxy must allow bridged nodes to have full connectivity with existing nodes on the subnet.
- o Prevent loops.
- o Also work in the absence of any routers.
- o Support nodes moving between segments. For example, a node should be able to keep its address without seeing its address as a duplicate due to any cache maintained at the proxy.
- o Allow dynamic addition of a proxy without adversely disrupting the network.
- o The proxy behavior should not break any existing classic bridges in use on a network segment.

### 3.1. Non-requirements

The following items are not considered requirements, as they are not met by classic bridges:

- o Show up as a hop in a traceroute.
- o Use the shortest path between two nodes on different segments.
- o Be able to use all available interfaces simultaneously. Instead, bridging technology relies on disabling redundant interfaces to prevent loops.
- o Support connecting media on which Neighbor Discovery is not possible. For example, some technologies such as [6TO4] use an algorithmic mapping from IPv6 address to the underlying link-layer (IPv4 in this case) address, and hence cannot support bridging arbitrary IP addresses.

The following additional items are not considered requirements for this document:

- o Support network-layer protocols other than IPv6. We do not preclude such support, but it is not specified in this document.

- o Support Redirects for off-subnet destinations that point to a router on a different segment from the redirected host. While this scenario may be desirable, no solution is currently known that does not have undesirable side effects outside the subnet. As a result, this scenario is outside the scope of this document.

#### 4. Proxy Behavior

Network-layer support for proxying between multiple interfaces SHOULD be used only when classic bridging is not possible.

When a proxy interface comes up, the node puts it in "all-multicast" mode so that it will receive all multicast packets. It is common for interfaces not to support full promiscuous mode (e.g., on a wireless client), but all-multicast mode is generally still supported.

As with all other interfaces, IPv6 maintains a neighbor cache for each proxy interface, which will be used as described below.

##### 4.1. Forwarding Packets

When a packet from any IPv6 source address other than the unspecified address is received on a proxy interface, the neighbor cache of that interface SHOULD be consulted to find an entry for the source IPv6 address. If no entry exists, one is created in the STALE state.

When any IPv6 packet is received on a proxy interface, it must be parsed to see whether it is known to be of a type that negotiates link-layer addresses. This document covers the following types: Neighbor Solicitations, Neighbor Advertisements, Router Advertisements, and Redirects. These packets are ones that can carry link-layer addresses, and hence must be proxied (as described below) so that packets between nodes on different segments can be received by the proxy and have the correct link-layer address type on each segment.

When any other IPv6 multicast packet is received on a proxy interface, in addition to any normal IPv6 behavior such as being delivered locally, it is forwarded unchanged (other than using a new link-layer header) out all other proxy interfaces on the same link. (As specified in [BRIDGE], the proxy may instead support multicast learning and filtering, but this is OPTIONAL.) In particular, the IPv6 Hop Limit is not updated, and no ICMP errors (except as noted in Section 4.1.1 below) are sent as a result of attempting this forwarding.

When any other IPv6 unicast packet is received on a proxy interface, if it is not locally destined then it is forwarded unchanged (other than using a new link-layer header) to the proxy interface for which the next hop address appears in the neighbor cache. Again the IPv6 Hop Limit is not updated, and no ICMP errors (except as noted in Section 4.1.1 below) are sent as a result of attempting this forwarding. To choose a proxy interface to forward to, the neighbor cache is consulted, and the interface with the neighbor entry in the "best" state is used. In order of least to most preferred, the states (per [ND]) are INCOMPLETE, STALE, DELAY, PROBE, REACHABLE. A packet is never forwarded back out the same interface on which it arrived; such a packet is instead silently dropped.

If no cache entry exists (as may happen if the proxy has previously evicted the cache entry or if the proxy is restarted), the proxy SHOULD queue the packet and initiate Neighbor Discovery as if the packet were being locally generated. The proxy MAY instead silently drop the packet. In this case, the entry will eventually be re-created when the sender re-attempts Neighbor Discovery.

The link-layer header and the link-layer address within the payload for each forwarded packet will be modified as follows:

- 1) The source address will be the address of the outgoing interface.
- 2) The destination address will be the address in the neighbor entry corresponding to the destination IPv6 address.
- 3) The link-layer address within the payload is substituted with the address of the outgoing interface.

#### 4.1.1. Sending Packet Too Big Messages

Whenever any IPv6 packet is to be forwarded out an interface whose MTU is smaller than the size of the packet, the ND proxy drops the packet and sends a Packet Too Big message back to the source, as described in [ICMPv6].

#### 4.1.2. Proxying Packets with Link-Layer Addresses

Once it is determined that the packet is either multicast or else is not locally destined (if unicast), the special types enumerated above (ARP, etc.) that carry link-layer addresses are handled by generating a proxy packet that contains the proxy's link-layer address on the outgoing interface instead. Such link-layer addresses occur in the



link-layer header itself, as well as in the payloads of some protocols. As with all forwarded packets, the link-layer header is new.

Section 4.1.3 enumerates the currently known cases where link-layer addresses must be changed in payloads. For guidance on handling future protocols, Section 7, "Guidelines to Proxy Developers", describes the scenarios in which the link-layer address substitution in the payload should be performed. Note that any change to the length of a proxied packet, such as when the link-layer address length changes, will require a corresponding change to the IPv6 Payload Length field.

4.1.3. IPv6 ND Proxying

When any IPv6 packet is received on a proxy interface, it must be parsed to see whether it is known to be one of the following types: Neighbor Solicitation, Neighbor Advertisement, Router Advertisement, or Redirect.

4.1.3.1. ICMPv6 Neighbor Solicitations

If the received packet is an ICMPv6 Neighbor Solicitation (NS), the NS is processed locally as described in Section 7.2.3 of [ND] but no NA is generated immediately. Instead the NS is proxied as described above and the NA will be proxied when it is received. This ensures that the proxy does not interfere with hosts moving from one segment to another since it never responds to an NS based on its own cache.

4.1.3.2. ICMPv6 Neighbor Advertisements

If the received packet is an ICMPv6 Neighbor Advertisement (NA), the neighbor cache on the receiving interface is first updated as if the NA were locally destined, and then the NA is proxied as described in 4.1.2 above.

4.1.3.3. ICMPv6 Router Advertisements

The following special processing is done for IPv6 Router Advertisements (RAs).

A new "Proxy" bit is defined in the existing Router Advertisement flags field as follows:

```
+-----+
|M|O|H|Prf|P|Rsv|
+-----+
```

where "P" indicates the location of the Proxy bit, and "Rsv" indicates the remaining reserved bits.

The proxy determines an "upstream" proxy interface, typically through a (zero-configuration) physical choice dictated by the scenario (see Scenarios 1 and 2 above), or through manual configuration.

When an RA with the P bit clear arrives on the upstream interface, the P bit is set when the RA is proxied out all other ("downstream") proxy interfaces (see Section 6).

If an RA with the P bit set has arrived on a given interface (including the upstream interface) within the last 60 minutes, that interface MUST NOT be used as a proxy interface; i.e., proxy functionality is disabled on that interface.

Furthermore, if any RA (regardless of the value of the P bit) has arrived on a "downstream" proxy interface within the last 60 minutes, that interface MUST NOT be used as a proxy interface.

The RA is processed locally as well as proxied as described in Section 4.1.2, unless such proxying is disabled as noted above.

#### 4.1.3.4. ICMPv6 Redirects

If the received packet is an ICMPv6 Redirect message, then the proxied packet should be modified as follows. If the proxy has a valid (i.e., not INCOMPLETE) neighbor entry for the target address on the same interface as the redirected host, then the Target Link-Layer Address (TLLA) option in the proxied Redirect simply contains the link-layer address of the target as found in the proxy's neighbor entry, since the redirected host may reach the target address directly. Otherwise, if the proxy has a valid neighbor entry for the target address on some other interface, then the TLLA option in the proxied packet contains the link-layer address of the proxy on the sending interface, since the redirected host must reach the target address through the proxy. Otherwise, the proxy has no valid neighbor entry for the target address, and the proxied packet contains no TLLA option, which will cause the redirected host to perform Neighbor Discovery for the target address.

#### 4.2. Originating Packets

Locally originated packets that are sent on a proxy interface also follow the same rules as packets received on a proxy interface. If no neighbor entry exists when a unicast packet is to be locally originated, an interface can be chosen in any implementation-specific fashion. Once the neighbor is resolved, the actual interface will be

discovered and the packet will be sent on that interface. When a multicast packet is to be locally originated, an interface can be chosen in any implementation-specific fashion, and the packet will then be forwarded out other proxy interfaces on the same link as described in Section 4.1 above.

## 5. Example

Consider the following topology, where A and B are nodes on separate segments which are connected by a proxy P:

```

A---|---P---|---B
  a   p1 p2   b

```

A and B have link-layer addresses a and b, respectively. P has link-layer addresses p1 and p2 on the two segments. We now walk through the actions that happen when A attempts to send an initial IPv6 packet to B.

A first does a route lookup on the destination address B. This matches the on-link subnet prefix, and a destination cache entry is created as well as a neighbor cache entry in the INCOMPLETE state. Before the packet can be sent, A needs to resolve B's link-layer address and sends a Neighbor Solicitation (NS) to the solicited-node multicast address for B. The Source Link-Layer Address (SLLA) option in the solicitation contains A's link-layer address.

P receives the solicitation (since it is receiving all link-layer multicast packets) and processes it as it would any multicast packet by forwarding it out to other segments on the link. However, before actually sending the packet, it determines if the packet being sent is one that requires proxying. Since it is an NS, it creates a neighbor entry for A on interface 1 and records its link-layer address. It also creates a neighbor entry for B (on an arbitrary proxy interface) in the INCOMPLETE state. Since the packet is multicast, P then needs to proxy the NS out all other proxy interfaces on the subnet. Before sending the packet out interface 2, it replaces the link-layer address in the SLLA option with its own link-layer address, p2.

B receives this NS, processing it as usual. Hence it creates a neighbor entry for A mapping it to the link-layer address p2. It responds with a Neighbor Advertisement (NA) sent to A containing B's link-layer address b. The NA is sent using A's neighbor entry, i.e., to the link-layer address p2.

The NA is received by P, which then processes it as it would any unicast packet; i.e., it forwards this out interface 1, based on the neighbor cache. However, before actually sending the packet out, it inspects it to determine if the packet being sent is one that requires proxying. Since it is an NA, it updates its neighbor entry for B to be REACHABLE and records the link-layer address b. P then replaces the link-layer address in the TLLA option with its own link-layer address on the outgoing interface, p1. The packet is then sent out interface 1.

A receives this NA, processing it as usual. Hence it creates a neighbor entry for B on interface 2 in the REACHABLE state and records the link-layer address p1.

## 6. Loop Prevention

An implementation MUST ensure that loops are prevented by using the P bit in RAs as follows. The proxy determines an "upstream" proxy interface, typically through a (zero-configuration) physical choice dictated by the scenario (see Scenarios 1 and 2 above), or through manual configuration. As described in Section 4.1.3.3, only the upstream interface is allowed to receive RAs, and never from other proxies. Proxy functionality is disabled on an interface otherwise. Finally, a proxy MUST wait until it has sent two P bit RAs on a given "downstream" interface before it enables forwarding on that interface.

## 7. Guidelines to Proxy Developers

Proxy developers will have to accommodate protocols or protocol options (for example, new ICMP messages) that are developed in the future, or protocols that are not mentioned in this document (for example, proprietary protocols). This section prescribes guidelines that can be used by proxy developers to accommodate protocols that are not mentioned herein.

- 1) If a link-layer address carried in the payload of the protocol can be used in the link-layer header of future messages, then the proxy should substitute it with its own address. For example, the link-layer address in NA messages is used in the link-layer header for future messages, and, hence, the proxy substitutes it with its own address.

For multicast packets, the link-layer address substituted within the payload will be different for each outgoing interface.

- 2) If the link-layer address in the payload of the protocol will never be used in any link-layer header, then the proxy should not substitute it with its own address. No special actions are required for supporting these protocols. For example, [DHCPv6] is in this category.

## 8. IANA Considerations

This document defines a new bit in the RA flags (the P bit). There is currently no registration procedure for such bits, so IANA should not take any action.

## 9. Security Considerations

Unsecured Neighbor Discovery has a number of security issues, which are discussed in detail in [PSREQ]. RFC 3971 [SEND] defines security mechanisms that can protect Neighbor Discovery.

Proxies are susceptible to the same kind of security issues that plague hosts using unsecured Neighbor Discovery. These issues include hijacking traffic and denial-of-service within the subnet. Malicious nodes within the subnet can take advantage of this property, and hijack traffic. In addition, a Neighbor Discovery proxy is essentially a legitimate man-in-the-middle, which implies that there is a need to distinguish proxies from unwanted man-in-the-middle attackers.

This document does not introduce any new mechanisms for the protection of proxy Neighbor Discovery. That is, it does not provide a mechanism from authorizing certain devices to act as proxies, and it does not provide extensions to SEND to make it possible to use both SEND and proxies at the same time. We note that RFC 2461 [ND] already defines the ability to proxy Neighbor Advertisements, and extensions to SEND are already needed to cover that case, independent of this document.

Note also that the use of proxy Neighbor Discovery may render it impossible to use SEND both on the leaf subnet and on the external subnet. This is because the modifications performed by the proxy will invalidate the RSA Signature Option in a secured Neighbor Discovery message, and cause SEND-capable nodes to either discard the messages or treat them as unsecured. The latter is the desired operation when SEND is used together with this specification, and it ensures that SEND nodes within this environment can selectively downgrade themselves to unsecure Neighbor Discovery when proxies are present.

In the following, we outline some potential paths to follow when defining a secure proxy mechanism.

It is reasonable for nodes on the leaf subnet to have a secure relationship with the proxy and to accept ND packets either from the owner of a specific address (normal SEND) or from a trusted proxy that it can verify (see below).

For nodes on the external subnet, there is a trade-off between security (where all nodes have a secure relationship with the proxy) and privacy (where no nodes are aware that the proxy is a proxy). In the case of a point-to-point external link (Scenario 2), however, SEND may not be a requirement on that link.

Verifying that ND packets come from a trusted proxy requires an extension to the SEND protocol and is left for future work [SPND], but is similar to the problem of securing Router Advertisements that is supported today. For example, a rogue node can send a Router Advertisement to cause a proxy to disable its proxy behavior, and hence cause denial-of-service to other nodes; this threat is covered in Section 4.2.1 of [PSREQ].

Alternative designs might involve schemes where the right for representing a particular host is delegated to the proxy, or where multiple nodes can make statements on behalf of one address [RINGSIG].

## 10. Acknowledgements

The authors wish to thank Jari Arkko for contributing portions of the Security Considerations text.

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## Appendix A: Comparison with Naive RA Proxy

It has been suggested that a simple Router Advertisement (RA) proxy would be sufficient, where the subnet prefix in an RA is "stolen" by the proxy and applied to a downstream link instead of an upstream link. Other ND messages are not proxied.

There are many problems with this approach. First, it requires cooperation from all nodes on the upstream link. No node (including the router sending the RA) can have an address in the subnet or it will not have connectivity with nodes on the downstream link. This is because when a node on a downstream link tries to do Neighbor Discovery, and the proxy does not send the NS on the upstream link, it will never discover the neighbor on the upstream link. Similarly, if messages are not proxied during Duplicate Address Detection (DAD), conflicts can occur.

Second, if the proxy assumes that no nodes on the upstream link have addresses in the prefix, such a proxy could not be safely deployed without cooperation from the network administrator since it introduces a requirement that the router itself not have an address in the prefix. This rules out use in situations where bridges and Network Address Translators (NATs) are used today, which is the problem this document is directly addressing. Instead, where a prefix is desired for use on one or more downstream links in cooperation with the network administrator, Prefix Delegation [PD] should be used instead.



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